

05 - 26 - 00

4

Attorney's Docket No. 1999DE122

☐ Duplicate
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC808 U.S. PTO
05/25/00

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

JC542 U.S. PTO
09/578421
05/25/00

NEW UTILITY PATENT APPLICATION TRANSMITTAL

Sir:

Transmitted for filing on May 25, 2000 is the patent application of

Inventors: **Norbert WEFERLING**
Martin SICKEN

For: **PROCESS FOR PREPARING (METAL) ALKYLPHOSPHONITES I**

1. This new application is for a(n)

- ☒ Original (nonprovisional)
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-Part

2. Papers enclosed that are required for filing date under 37 CFR 1.53(b) (Regular)

- 14 Pages of Specification and Claims (19 in number)
- 1 Pages of Abstract
- 0 N/A sheets of drawings

3. Additional papers enclosed:

- ☒ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449
- ☐ References Cited

4. Declaration or Oath:

- ☒ Enclosed - EXECUTED BY INVENTORS
- ☐ Not Enclosed

5. An assignment of the invention to Clariant GmbH is attached. A separate "Assignment Recordation Form Cover Sheet" is also attached.
6. Certified copy of application from which priority is claimed will follow.

Country	Application No.	Filed
Federal Republic of Germany	199 23 830.8	May 25, 1999

7. Fee calculation

<u>CLAIMS AS FILED</u>				
Basic Filing Fee				\$690.00
<u>Number Filed</u>	<u>Number Extra</u>		<u>Rate</u>	
	<u>a</u>			
Total Claims: 19	Minus 20	=8	X \$18.00	
Independent Claims: 1	Minus 3	=	X \$78.00	
Multiple Dependent Claims (if any)			+\$260.00	
TOTAL FEE DUE				\$690.00

- ☐ Amendment canceling extra claims is enclosed.
☒ Amendment deleting multiple-dependencies is enclosed.
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$690.00

8. Fee Payment Being Made at this Time

- ☐ Not enclosed -- No filing fee is to be paid at this time.
☒ Enclosed
- | | |
|--|--------------|
| <input checked="" type="checkbox"/> Basic Filing Fee | \$690.00 |
| <input checked="" type="checkbox"/> Assignment Recordation Fee | <u>40.00</u> |

Total Fees Enclosed \$730.00

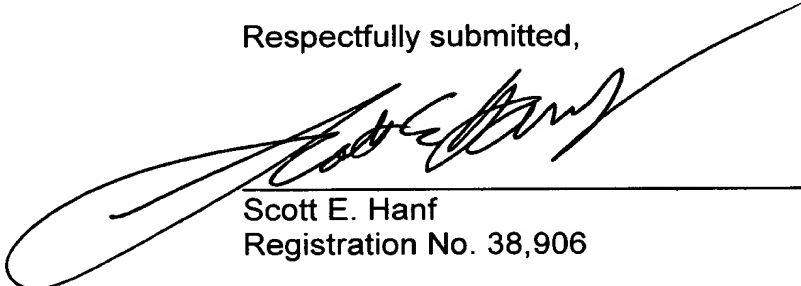
9. Method of Payment

- ☒ The total filing fee calculated above is authorized to be charged to Deposit Account No. 03-2060 for which purpose a duplicate copy of this cover sheet is attached.

10. Authorization to charge additional fees/instructions as to overpayment

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (application filing fees) or 37 CFR 1.17 (application processing fees) during the prosecution of this application, including any related application claiming benefit hereof pursuant to 35 USC §120 (e.g., continuations, divisionals and/or CIPs under 37 CFR §1.53(b) and/or continuations, divisionals and/or CIPs under 37 CFR §1.53(b)) to maintain pendency hereof of any such related application.

Respectfully submitted,



Scott E. Hanf
Registration No. 38,906

Clariant Corporation
Industrial Property Department
4331 Chesapeake Drive
Charlotte, North Carolina 28216
Telephone: 704/395-6712
Facsimile: 704/395-6727

CERTIFICATION UNDER 37 CFR 1.10

Express Mail Mailing Label Number: EJ913191586US
Date of Mailing: May 25, 2000

I hereby certify that on the date indicated above, this new U.S. patent application and the papers indicated as enclosed therein, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, in accordance with 37 CFR 1.10.



Signature of Person Mailing the Application

Vicki L. Sgro
Typed Name of Person Mailing the Application

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Mailing Label Number: : **EJ913191586US**

Date of Mailing: May 25, 2000



CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that on the date indicated above, this NEW UTILITY PATENT APPLICATION TRANSMITTAL and the papers indicated as enclosed therein, of:

Inventors: **Norbert WEFERLING**
Martin SICKEN

For: **PROCESS FOR PREPARING (METAL) ALKYLPHOSPHONITES I**

is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" addressed to the: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, in accordance with 37 CFR 1.10.

Vicki L. Sgro

A handwritten signature in black ink, appearing to read "V. Sgro", written over a horizontal line.